# An $O(n \log n)$ lower bound for the synchronous circuit size of integer multiplication by Kurt Mehlhorn 

Fachbereich Angewandte<br>Mathematik und Informatik<br>Universität des Saarlandes<br>D-6600 Saarbrücken

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We prove an $O(n \log n$ ) lower bound for the synchronous circuit size of integer multiplication. A circuit is synchronous, if no races occur in this circuit, or more formally, if for all gates $g$ the following holds: all pathsfrom inputs to gate $g$ have identical length. Here we assume that each gate introduces one time unit of delay. A circuit can always be made synchronous by introducing additional gates (delay elements). However, it is conceivable that this squares the size of the circuit. Nevertheless, from the point of view of physics, requiring a circuit to be synchronous is a very reasonable restriction.

Let $f:\{0,1\}^{n} \rightarrow\{0,1\}^{m}$ be a boolean function with $n$ inputs and $m$ outputs. We denote by $C^{S}(f)$ the size (number of gates) of the smallest synchronous circuit over the basis of all two-input gates which realizes $f$.

Integer multiplication is the following boolean function Mult $_{n}:\{0,1\}^{2 n} \rightarrow\{0,1\}^{2 n}$. It takes two $n$ bit binary numbers as inputs - $x_{2 n} \cdots x_{n+1}$ and $x_{n} \cdots x_{1}$ (least significant bit to the right) - and produces the binary representation of the product of these two numbers.

Theorem: $C^{S}\left(\right.$ Mult $\left._{n}\right) \geq O(n \log n)$

We prove this lower bound by appealing to results of Harper and Harper \& Savage.

Definition: The class of functions $P_{p, q}^{n, m}(\varepsilon), 0 \leq \varepsilon<1$, is defined as
$P_{p, q}^{n, m}(\varepsilon)=\left\{f:\{0,1\}^{n} \rightarrow\{0,1\}^{m}\right.$; for all but a fraction $\varepsilon$ of
the subsets $I \subseteq\{1, \ldots, n\},|I|=p$, the set of of $n-p$ variables obtained by fixing the variables in $I$ in all possible $2^{\mathrm{P}}$ ways contains at least $q$ different functions .

Fact (Harper \& Savage) : Let $f \in P_{p, q}^{(n, m)}(\varepsilon)$.
Then

$$
C^{S}(f) \geq(1-\varepsilon)\left[L-\frac{4(n-p)\left(2^{L}-1\right)}{p-2^{L}}\right] \log q
$$

for every $L$ with $0 \leq L \leq \max \left\{1 ; 2^{1}<p\right.$ and

$$
\left.(1-\varepsilon) \cdot\left[1-\frac{2(n-p) 2^{1}}{p-2^{1}}\right] \log _{2} q \geq m\right\}
$$

This result is not directly applicable to integer multiplication. Certainly, $q \leq 2^{p}$ and hence $\log q \leq p \leq n$. In our case $n=m$ and hence $L \leq 0$. We conclude that the result of Harper and Savage is applicable only in the case that $n>m$. Therefore we consider instead of Mult $n$ the following boolean function $\overline{\operatorname{Mult}}_{\mathrm{n}}:\{0,1\}^{2 n} \rightarrow\{0,1\}^{n}$ defined as: $\overline{\operatorname{Mult}}_{n}\left(x_{2 n} \ldots x_{n+1} x_{n} \ldots x_{1}\right)$ are the $n$ least significant bits of the binary representation of the product of the two binary numbers represented by $x_{2 n} \ldots x_{n+1}$ and $x_{n} \ldots x_{1}$. Certainly $c^{S}\left(\right.$ Mult $\left._{n}\right) \geq c^{s}\left(\overline{\text { Mult }}_{n}\right)$

We show that $\overline{M u l t}_{n} \in{\underset{p}{p, q}}_{(2 n, n)}^{(0.1)}$ where $p=2 n-\log n$ $\log q=3 n / 2-\log n$ and $n$ sufficiently large.

Application of Harper's and Savage's result yields:

$$
\begin{aligned}
& \max \left\{1 ; 0.9 .\left[1-\frac{2 \log n 2^{1}}{2 n-\log n-2^{1}}\right] \log q \geq n\right\} \\
\geq & \max \left\{1 ; \frac{45}{40}\left[1-\frac{2 \log n 2^{1}}{2 n-\log n-2^{1}}\right] n \geq n\right\}
\end{aligned}
$$

since $3 n / 2-\log n \geq 5 / 4 n$ for $n$ sufficiently large

$$
\begin{aligned}
& =\max \left\{1 ; \frac{2 \log n 2^{1}}{2 n-\log n-2^{1}} \leq \frac{5}{45}=1 / 9\right\} \\
& =\max \left\{1 ;(18 \log n+1) \cdot 2^{1} \leq 2 n-\log n\right\}
\end{aligned}
$$

$$
\begin{aligned}
& =\max \{1 ; 1 \leq \log (2 n-\log n)-\log (18 \log n+1)\} \\
& \geq 1 / 2 \log n \text { for } n \text { sufficiently large }
\end{aligned}
$$

and hence

$$
\begin{aligned}
C^{S}\left(\overline{\operatorname{Mult}}_{n}\right) & \geq 0.9 \cdot\left[1 / 2 \log n-\frac{4 \log n(\sqrt{n}-1)}{2 n-\log n-\sqrt{n}}\right](3 n / 2-\log n) \\
& \geq 0.9 \cdot 1 / 4 \log n \cdot n \\
& \geq 1 / 5 \cdot n \cdot \log n
\end{aligned}
$$

for sufficiently large $n$. It remains to show that $\overline{M u l t}_{n} \in{\underset{p}{p, q}}_{(2 n, n)}^{n}$ (0.1) for $p=2 n-\log n, \log q=3 / 2 n-\log n$ and n sufficiently large.

Lemma 1: The fraction of the subsets $I \subseteq\{1, \ldots, 2 n\}$, $|I|=p$ with $\{1, \ldots, n / 4\} \subset I$ or $\{n+1, \ldots, 5 n / 4\} \subseteq I$ is less than 0.1 for sufficiently large $n$.

Proof: $I^{C}$, the complement of $I$, is a subset of $\{1, \ldots, 2 n\}$ of size $\log n$. The condition above is equivalent to $I^{c} \cap\{1, \ldots, n / 4\}$ $=\varnothing$ or $I^{C} \cap\{n+1, \ldots, 5 n / 4\}=\varnothing$. The number of $I$ 's with $I^{C} \cap\{1, \ldots, n / 4\}=\varnothing$ is equal to $\binom{7 n / 4}{\log n}$ and hence the number of $I^{\prime} s$ with $I^{C} \cap\{1, \ldots, n / 4\}=\varnothing$ or $I^{C} \cap\{n+1, \ldots, 5 n / 4\}=\varnothing$ is less than $2 \cdot\binom{7 n / 4}{\log n}$. Comparing this with the total number $\binom{2 n}{\log n}$ of I's yields
$\frac{2 \cdot\binom{7 n / 4}{\log n}}{\binom{2 n}{\log n}}=2 \cdot \frac{7 n / 4 \ldots(7 n / 4-\log n+1)}{2 n \ldots(2 n-\log n+1)} \leq 2 \cdot(7 / 8)^{\log n} \rightarrow 0$
for $n \rightarrow \infty$.

From now on we consider only $I^{\prime \prime} s$ with $I^{c} \cap\{1, \ldots, n / 4\} \neq \varnothing$ and $I^{c} \cap\{n+1, \ldots, 5 n / 4\} \neq \varnothing$. Consider any such $I$. Then there is some $x_{i}$ with $1 \leqslant i \leqslant n / 4$ and some $x_{j}$ with $n+1 \leqslant j \leqslant 5 n / 4$ such that $i, j \notin I$. A valuation of the variables in $I$ does not fix the values of $x_{1}$ and $x_{j}$, i.e. we are still free to choose the value of some low order bit in both factors of the multiplication. Consider two valuations $\mathrm{val}_{1}$ and $\mathrm{val}_{2}$ of the variables in I .

We extend $\mathrm{val}_{1}$ and $\mathrm{val}_{2}$ to valuations of all variables except $x_{i}$ and $x_{j}$ by assigning $O$ to all variables in $I^{C}-\left\{x_{i}, x_{j}\right\}$. Under the extended valuation val ${ }_{1} \overline{\text { Mult }}_{n}$ computes the product $\left(B_{1}+x_{j} \cdot 2^{j-(n+1)}\right)\left(A_{1}+x_{1} 2^{i-1}\right)$ where $A_{1}$ is the integer represented by $\operatorname{val}_{1}\left(x_{n}\right) \ldots \operatorname{val}_{1}\left(x_{i+1}\right) 0 \operatorname{val}_{1}\left(x_{i-1}\right) \ldots \operatorname{val}\left(x_{1}\right)$ and similarly for val $_{2}$. Assume now that both valuations val ${ }_{1}$ and $\mathrm{val}_{2}$ produce the same function of the remaining variables. Then in particular,

$$
\begin{aligned}
& \left(B_{1}+x_{j} 2^{j-(n+1)}\right)\left(A_{1}+x_{1} 2^{i-1}\right)= \\
& \quad\left(B_{2}+x_{j} \cdot 2^{j-(n+1)}\right)\left(A_{2}+x_{i} 2^{i-1}\right) \bmod 2^{n}
\end{aligned}
$$

and hence

$$
\begin{aligned}
& A_{1} B_{1}+A_{1} x_{j} 2^{j-(n+1)}+B_{1} x_{i} 2^{i-1}= \\
& A_{2} B_{2}+A_{2} x_{j} 2^{j-(n+1)}+B_{2} x_{i} 2^{i-1} \bmod 2^{n} .
\end{aligned}
$$

Setting $x_{i}=0$ and $x_{j}=0$ yields

$$
A_{1} B_{1}=A_{2} B_{2} \quad \bmod 2^{n}
$$

and hence

$$
\begin{aligned}
A_{1} x_{j} 2^{j-(n+1)}+ & B_{1} x_{i} 2^{i-1}= \\
& A_{2} x_{j} 2^{j-(n+1)}+B_{2} x_{i} 2^{i-1} \bmod 2^{n} .
\end{aligned}
$$

Setting now $x_{i}=0, x_{j}=1\left(x_{i}=1, x_{j}=0\right)$
yields

$$
A_{1} 2^{j-(n+1)}=A_{2} \cdot 2^{j-(n+1)} \quad \bmod 2^{n}
$$

and

$$
B_{1} \cdot 2^{i-1}=B_{2} 2^{i-1} \bmod 2^{n}
$$

and hence

$$
A_{1}=A_{2} \bmod 2^{3 n / 4}+1
$$

and

$$
B_{1}=B_{2} \bmod 2^{3 n / 4+1} \text { since } 1-1<n / 4
$$

and $j-(n+1)<n / 4$. This shows that the two valuations val ${ }_{1}$ and $\mathrm{val}_{2}$ agree in the values assigned to $\mathrm{x}_{i}$ for $1 \leq i \leq 3 \mathrm{n} / 4$ or $n+1 \leq i \leq 7 n / 4$ and $i \in I$. Hence at least $2^{3 n / 2-\log n}$ valuations of the variables in I yield different functions of the remaining variables.

These considerations show that $\overline{M u l t}_{n} \in P_{p, q}^{(2 n, n)}$ ( 0.1 ) for sufficiently large $n$ and prove the theorem.

Bibliography
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